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TRANSMITTAL FORM

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Total Number of Pages in This Submission 3

Application Number 10560,147
 Filing Date 12/09/2005
 First Named Inventor Peter Hofbauer
 Art Unit 2834
 Examiner Name LAM, THANH
 Attorney Docket Number APT-2.009,PCT.US

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
☐ Amendment/Reply
☐ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Reply to Missing Parts/
 Incomplete Application
☐ Reply to Missing Parts
 under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a
 Provisional Application
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☐ Appeal Communication to Board
 of Appeals and Interferences
☐ Appeal Communication to TC
 (Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Other Enclosure(s) (please identify
 below):
 return receipt postcard
 IDS non-US patent references
 statement regarding non-English language
 references

Remarks

Total number of pages does NOT include non-US patent references.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	GANZ LAW, P.C.		
Signature			
Printed name	Bradley M. Ganz		
Date	10-4-06	Reg. No.	34,170

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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Typed or printed name	Tracie Brooks	Date	10-4-06

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of

Confirmation No. 9462

Peter Hofbauer.

Attorney Docket No.: APT-2.009.PCT.US

Serial No.: 10/560,147

Art Unit: 2834

Filed: December 9, 2005

Examiner: Marguerite J. McMahon

For: RING GENERATOR

CERTIFICATE OF TRANSMISSION/MAILING

Mailstop Amendment

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE TRANSMITTED TO THE USPTO AT (571) 272-8300 OR DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE FOR FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON THE DATE INDICATED BELOW.

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Tracie Brooks
 Tracie Brooks
 Date of Deposit: 10-4-06

STATEMENT IN COMPLIANCE WITH 37 C.F.R. § 1.98(a)(3)(i)

Sir:

The following foreign language patent references are cited on the attached PTO/SB/8A forms:

- Japanese Patent No. 135818
- Japanese Publication No. Sho 55-76827
- Japanese Publication No. Sho 58-10115
- Japanese Publication No. Hei 7-102990
- Japanese Publication No. 2000-104560

These references are supplied due to their possible relevance. Neither Applicant nor Applicant's representative has read the non-English language texts of each of these references and, therefore, cannot assess its relevance.

Respectfully submitted,

Date:

4 Oct 06

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PTO/IS/O8A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 6

Complete if Known

Application Number	10/560,147
Filing Date	December 9, 2005
First Named Inventor	Peter Hofbauer
Art Unit	2834
Examiner Name	LAM, THANH
Attorney Docket Number	APT-2.009.PCT.US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
J/G/		US-1,167,366	01/14/1916	FESSENDEN	
		US-1,232,174	07/03/1917	BACHELET	
		US-1,616,137	02/01/1927	PALMER	
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		US-2,254,817	09/02/1941	BLENKER	
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		US-3,200,800	08/17/1965	DU BOIS	
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		US-4,480,599	11/06/1984	ALLIAS	
		US-4,661,050	04/28/1987	DEMINSKI	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² Number-Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
J/G/		WO 02/48524	06/20/2002	HOFBAUER		
J/G/		WO 03/078809	09/25/2003	HOFBAUER		
J/G/		WO 03/078810	09/25/2003	HOFBAUER		
J/G/		WO 03/078835	09/25/2003	HOFBAUER		
J/G/		GB 531,009	12/27/1940	MOLLER, ET AL		
J/G/		DE19503413	08/08/1996	Alb Klein GmbH		

Examiner
Signature

/Julio Gonzalez/

Date
Considered

10/07/2008

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT BY APPLICANT**

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Sheet 2 of 6

Complete if Known

Application Number	10/560,147
Filing Date	December 9, 2005
First Named Inventor	Peter Hofbauer
Art Unit	2834
Examiner Name	LAM, THANH
Attorney Docket Number	APT-2.009.PCT.US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
J/G/		US-1639334	08-16-1927	H. Ford	
		US-1875838	09-06-1932	A.E. Winckler	
		US-2041708	05-26-1936	W. Harper Jr.	
		US-3485221	12-23-1969	R.S. Feedback	
		US-3895620	07-22-1975	Foster	
		US-4248183	02-03-1981	Noguchi, et al.	
		US-4254745	03-10-1981	Noguchi, et al.	
		US-4257365	03-24-1981	Noguchi, et al.	
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		US-4305349	12-15-1981	Zimmerly	
		US-4429668	02-07-1984	Nakagawa, et al.	
		US-4480597	11-06-1984	Noguchi, et al.	
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		US-4720640	01-19-1988	Anderson, et al.	
		US-5559379	09-24-1996	Voss	
		US-6147415	11-14-2000	Fukada	
		US-6541875	04-01-2003	Berlinger, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
J/G/		Country Code ³ Number * Kind Code ⁴ (if known)				
J/G/		WO9415073	07-07-1994	Peter Graf Von Ingelheim	abstract & figures	
J/G/		DE4243255	06-23-1994	Peter Graf Von Ingelheim	figure 1, abstract	
J/G/		DE19503444	05-15-1996	Mercedes-Benz Aktiengesellschaft	abstract & figures	
J/G/		FR Pub. 852918	03-06-1940	Maschinenfabrik	figure 1, abstract	
J/G/		JP Patent 135818		Helen Loverier		
J/G/		JP Pub. Sho 55-76827	05-27-1980	Toyo Kogyo Co. Ltd.		

Examiner Signature	/Julio Gonzalez/	Date Considered	10/07/2008
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3 of 6**Complete if Known**

Application Number	10/560,147
Filing Date	12-09-2005
First Named Inventor	Peter Hofbauer
Art Unit	2834
Examiner Name	DAW, THANE
Attorney Docket Number	APT-2.009.PCT.US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JG/		US-4,694,785	09/22/1987	TIMMERMAN ET AL	
		US-4,815,294	03/28/1989	DAVID	
		US-4,864,976	09/12/1989	FALERNO	
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		US-4,627,389	12/09/1986	SIMON	
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		US-5,163,388	11/17/1992	JONSSON	
		US-5,280,213	01/18/1994	DAY	
		US-5,406,911	04/18/1995	HEFLEY	
		US-5,413,074	05/09/1995	HORIUCHI	
		US-5,421,293	06/06/1995	NOLTEMEYER ET AL	
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		US-5,479,894	01/02/1996	NOLTEMEYER ET AL	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁴
JG/		DE19503443	05/15/1995	Mercedes-Benz		
JG/		DE19943993	03/15/2001	Volkswagen AG		

Examiner
Signature

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Date
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10/07/2008

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 4

of 6

Complete if Known

Application Number	10/560,147
Filing Date	December 09, 2005
First Named Inventor	Peter Hofbauer
Art Unit	2834
Examiner Name	LAM, THANH
Attorney Docket Number	APT-2 009.PCT.US

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/JG/		US-5,560,327	10/01/1996	BRACKETT	
		US-5,586,540	12/24/1996	MARZEC ET AL	
		US-3,541,362	02/19/1969	POUIT	
		US-5,654,596	08/05/1997	NASAR	
		US-5,693,991	12/02/1997	HITERER	
		US-5,775,273	07/07/1998	BEALE	
		US-5,794,582	08/18/1998	HORIUCHI	
		US-5,850,111	12/15/1998	HAALAND	
		US-5,884,590	03/23/1999	MINCULESCU	
		US-5,893,343	04/13/1999	RIGAZZI	
		US-6,141,971	11/07/2000	HANES	
		US-6,170,443	01/09/2001	HOFBAUER	
		US-6,199,519	03/13/2001	VAN BLARIGAN	
		US-6,513,464	02/04/2003	BUSCH	
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		US-RE30,176	12/25/1979	BEALE (reissued US)	
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		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ²
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
Signature

/Julio Gonzalez/

Date
Considered

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Sheet 5 of 6

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Application Number	10/560,147
Filing Date	December 09, 2005
First Named Inventor	Peter Hofbauer
Art Unit	2834
Examiner Name	LAM, THANH
Attorney Docket Number	APT-2 009 PCT.US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.†	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/JG/		US- 20060124084	06-15-2006	Hofbauer, Peter; et al.	
		US- 5397922	03-14-1995	Paul, et al.	
		US- 4873826	10-17-1989	Dhar	
		US- 4649283	03-10-1987	Berchowitz, et al.	
		US- 20060138777	06-29-2006	Hofbauer, Peter; et al.	
		US- 4532431	07-30-1985	Iliev, et al.	
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/JG/		WO2005003532	01-13-2005	HOFBAUER, Peter		
/JG/		WO05060381C2	07-07-2005	HOFBAUER, Peter		
/JG/		JP Pub. Sho 58-10115	01-20-1983	Hitachi, Ltd.		
/JG/		JP Pub. Hei 7-102990	04-18-1995	Isuzu Motors Ltd.		
/JG/		JP Pub. 2000-104560	04-11-2000	Koji Sakai		

Examiner
Signature

/Julio Gonzalez/

Date
Considered

10/07/2008

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/560,147
		Filing Date	12-09-2005
		First Named Inventor	Peter Hofbauer
		Art Unit	2834
		Examiner Name	LAM, THINH
Sheet	6	of	6
		Attorney Docket Number	APT-2.009.PCT.US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/JG/		PCT International Search Report dated June 16 2003 for PCT application no. PCT/US03/08708, filed March 17, 2003, 3 pages	
/JG/		PCT International Search Report dated August 21, 2001 for PCT application no. PCT/US00/34122, filed December 15, 2000, 3 pages	
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